

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4083227
<b>Application Number:</b>	09896831
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5861
<b>Title of Invention:</b>	Method and apparatus for offering, pricing, and selling securities over a network
<b>First Named Inventor/Applicant Name:</b>	Calvin B. Grigsby
<b>Customer Number:</b>	20872
<b>Filer:</b>	Katherine D. Lee/Marci Marcantonio
<b>Filer Authorized By:</b>	Katherine D. Lee
<b>Attorney Docket Number:</b>	476172000100
<b>Receipt Date:</b>	08-OCT-2008
<b>Filing Date:</b>	28-JUN-2001
<b>Time Stamp:</b>	18:55:18
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$555
RAM confirmation Number	4236
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	476172000100Transmittal.pdf	27629	no	1
			bc1de1862eed83a387d26d8e5302e01222a6685d		

### Warnings:

### Information:

2	Extension of Time	476172000100Extension.pdf	31126	no	1
			5e8bb51292b76802a5d8e0557351a9bed361da9b		

### Warnings:

### Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	30293	no	2
			3dbde592503934e61608d49584f95fec569cf827		

### Warnings:

### Information:

<b>Total Files Size (in bytes):</b>	89048
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.